Five-Year Follow-up of Patients Receiving Imatinib for Chronic Myeloid Leukemia

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ABSTRACT

BACKGROUND
The cause of chronic myeloid leukemia (CML) is a constitutively active BCR-ABL tyrosine kinase. Imatinib inhibits this kinase, and in a short-term study was superior to interferon alfa plus cytarabine for newly diagnosed CML in the chronic phase. For 5 years, we followed patients with CML who received imatinib as initial therapy.

METHODS
We randomly assigned 553 patients to receive imatinib and 553 to receive interferon alfa plus cytarabine and then evaluated them for overall and event-free survival; progression to accelerated-phase CML or blast crisis; hematologic, cytogenetic, and molecular responses; and adverse events.

RESULTS
The median follow-up was 60 months. Kaplan–Meier estimates of cumulative best rates of complete cytogenetic response among patients receiving imatinib were 69% by 12 months and 87% by 60 months. An estimated 7% of patients progressed to accelerated-phase CML or blast crisis, and the estimated overall survival of patients who received imatinib as initial therapy was 89% at 60 months. Patients who had a complete cytogenetic response or in whom levels of BCR-ABL transcripts had fallen by at least 3 log had a significantly lower risk of disease progression than did patients without a complete cytogenetic response (P<0.001). Grade 3 or 4 adverse events diminished over time, and there was no clinically significant change in the profile of adverse events.

CONCLUSIONS
After 5 years of follow-up, continuous treatment of chronic-phase CML with imatinib as initial therapy was found to induce durable responses in a high proportion of patients. (ClinicalTrials.gov number, NCT00006343.)
CHRONIC MYELOID LEUKEMIA (CML) is a myeloproliferative disorder characterized by the expansion of a clone of hematopoietic cells that carries the Philadelphia chromosome (Ph). The Ph chromosome results from a reciprocal translocation between the long arms of chromosomes 9 and 22, t(9;22)(q34;q11). The molecular consequence of this translocation is a novel fusion gene, BCR-ABL, which encodes a constitutively active protein, tyrosine kinase. Imatinib (Gleevec, Novartis; formerly called STI571) is a relatively specific inhibitor of the BCR-ABL tyrosine kinase and has efficacy in CML.

Before the availability of imatinib, interferon alfa plus cytarabine was considered standard therapy for patients with CML who were not planning to undergo allogeneic hematopoietic stem-cell transplantation. A randomized trial that compared imatinib with interferon alfa plus cytarabine in the chronic phase of CML demonstrated the significant superiority of imatinib in all standard indicators of the disease within a median follow-up of 19 months.

The trial was designed as a crossover study, and given the superior results with imatinib, a large proportion of patients in the interferon group switched to imatinib. In addition, at the time of Food and Drug Administration approval of imatinib, many patients who were assigned to receive interferon alfa plus cytarabine left the study. Consequently, the trial has evolved into a long-term study of the result of treating newly diagnosed patients in the chronic phase of CML with imatinib. We now report 60 months of follow-up data and focus on patients who received imatinib as a primary treatment.

METHODS

STUDY DESIGN

The design of the study has been described previously. The International Randomized Study of Interferon and STI571 (IRIS) was a multicenter, international, open-label, phase III randomized study. Eligible patients had to be between 18 and 70 years of age, must have been diagnosed with Ph-positive CML in chronic phase within 6 months before study entry, and must not have received treatment for CML, except for hydroxyurea or anagrelide.

Patients were recruited from June 2000 through January 2001 and were randomly assigned to receive imatinib at a dose of 400 mg orally per day or subcutaneous interferon alfa at a daily target dose of 5 million U per square meter of body-surface area, plus 10-day cycles of cytarabine at a daily dose of 20 mg per square meter every month. Patients receiving imatinib who did not have a complete hematologic response within 3 months or whose bone marrow contained more than 65% Ph-positive cells at 12 months could have a stepwise increase in the dose of imatinib to 400 mg orally twice daily as long as there were no dose-limiting adverse events. Patients were allowed to cross over to the other treatment group if they did not achieve either a complete hematologic response after 6 months of therapy or a major cytogenetic response after 12 months or if they had a relapse or an increase in white-cell count or could not tolerate treatment. All crossover requests were made anonymously and considered weekly by the study management committee (see the Appendix).

END POINTS

The primary end point was event-free survival, which was referred to in previous presentations and articles as the time to progression, or progression-free survival. Events were defined by the first occurrence of any of the following: death from any cause during treatment, progression to the accelerated phase or blast crisis of CML, or loss of a complete hematologic or major cytogenetic response. Secondary end points were the rate of complete hematologic response (defined as a leukocyte count <10×10^9 per liter, a platelet count of <450×10^9 per liter, <5% myelocytes plus metamyelocytes, no blasts or promyelocytes, no extramedullary involvement, and no signs of the accelerated phase or blast crisis of CML); a cytogenetic response in marrow cells, categorized as complete (no Ph-positive metaphases), partial (1 to 35% Ph-positive metaphases), or major (complete plus partial responses) on the basis of G-banding in at least 20 cells in metaphase per sample; progression to the accelerated phase or blast crisis; overall survival; safety; and tolerability. Signs of a molecular response were sought every 3 months after a complete cytogenetic response was obtained with the use of real-time quantitative polymerase chain reaction to measure the ratio of BCR-ABL transcripts to BCR transcripts. Results were expressed as “log reductions” below a standardized baseline derived from...
a median ratio of BCR-ABL to BCR obtained from
30 untreated patients with chronic-phase CML.\textsuperscript{15}

**ETHICS AND STUDY MANAGEMENT**

The study was conducted in accordance with the Declaration of Helsinki. The study protocol was reviewed by the ethics committee or institutional review board at each participating center. All patients gave written informed consent, according to institutional regulations. The academic investigators and representatives of the sponsor, Novartis, designed the study. Data-management and statistical-support staff at a contract research organization collected the data, which were analyzed and interpreted by a biostatistician from Novartis in close collaboration with the investigators. The study management committee and all academic investigators had access to the raw data. The study management committee, composed of four academic investigators, served as the writing committee. Along with the Novartis biostatistician, they vouch for the accuracy and completeness of the data.

**STATISTICAL ANALYSIS**

The study is ongoing, but January 31, 2006, was the cutoff date for this analysis. This date marked 5 to 5.5 years after patients started to receive imatinib treatment. We followed all 553 patients who were assigned to receive imatinib for an analysis of safety and efficacy until they stopped taking imatinib, and we have continued to follow all patients until death, loss to follow-up, or withdrawal of consent. Survival data were also collected on patients who underwent bone marrow transplantation after imatinib treatment. We performed analyses of survival and event-free survival, using the Kaplan–Meier method according to the intention-to-treat principle and using all data available, regardless of whether crossover occurred. Differences between subgroups of patients receiving imatinib were calculated by the log-rank test. Cumulative rates of complete hematologic and cytogenetic responses were estimated according to the Kaplan–Meier method, in which data from patients receiving imatinib who did not have an adequate response, who had switched to interferon alfa plus cytarabine, or who had discontinued treatment for reasons other than progression of CML were censored at the last follow-up visit. For the estimation of cumulative response rates, we censored data from patients with progressive CML at maximum follow-up. We used the life-table method to determine yearly event probabilities. The safety of imatinib was analyzed for 551 patients who received at least one dose of the study drug during the trial. For the 553 patients assigned to receive interferon alfa plus cytarabine, disposition and overall survival were summarized.

Table 1. Enrollment, Outcomes, and Reasons for Crossover and Discontinuation.*

<table>
<thead>
<tr>
<th>Variable</th>
<th>Imatinib (N = 553)</th>
<th>Interferon Alfa plus Cytarabine (N = 553)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>no. of patients (%)</td>
<td></td>
</tr>
<tr>
<td>Assignment of patients</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Continued first-line treatment</td>
<td>382 (69)</td>
<td>16 (3)</td>
</tr>
<tr>
<td>Discontinued first-line treatment</td>
<td>157 (28)</td>
<td>178 (32)</td>
</tr>
<tr>
<td>Crossed over to other treatment</td>
<td>14 (3)</td>
<td>359 (65)</td>
</tr>
<tr>
<td>Discontinued second-line treatment</td>
<td>14 (3)</td>
<td>108 (20)</td>
</tr>
<tr>
<td>Reason for crossover</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other than progression</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Intolerance of treatment†</td>
<td>4 (&lt;1)</td>
<td>144 (26)</td>
</tr>
<tr>
<td>No complete hematologic response at 6 mo</td>
<td>0</td>
<td>41 (7)</td>
</tr>
<tr>
<td>No major cytogenetic response at 12 mo</td>
<td>1 (&lt;1)</td>
<td>49 (9)</td>
</tr>
<tr>
<td>Other</td>
<td>0</td>
<td>48 (9)</td>
</tr>
<tr>
<td>Progression only</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Increase in white-cell count†</td>
<td>2 (&lt;1)</td>
<td>25 (5)</td>
</tr>
<tr>
<td>Loss of complete hematologic response</td>
<td>5 (&lt;1)</td>
<td>29 (5)</td>
</tr>
<tr>
<td>Loss of major cytogenetic response</td>
<td>2 (&lt;1)</td>
<td>23 (4)</td>
</tr>
<tr>
<td>Reason for discontinuation‡</td>
<td></td>
<td></td>
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<tr>
<td>Adverse event</td>
<td>23 (4)</td>
<td>35 (6)</td>
</tr>
<tr>
<td>Death</td>
<td>10 (2)</td>
<td>2 (&lt;1)</td>
</tr>
<tr>
<td>Unsatisfactory therapeutic effect</td>
<td>59 (11)</td>
<td>29 (5)</td>
</tr>
<tr>
<td>Stem-cell transplantation</td>
<td>16 (3)</td>
<td>7 (1)</td>
</tr>
<tr>
<td>Protocol violation</td>
<td>15 (3)</td>
<td>17 (3)</td>
</tr>
<tr>
<td>Loss to follow-up</td>
<td>5 (&lt;1)</td>
<td>6 (1)</td>
</tr>
<tr>
<td>Withdrawal of consent</td>
<td>25 (5)</td>
<td>76 (14)</td>
</tr>
<tr>
<td>Other</td>
<td>4 (&lt;1)</td>
<td>6 (1)</td>
</tr>
</tbody>
</table>

* The first patient entered the study on June 16, 2000, and enrollment ended January 30, 2001.
† The crossover of patients with this condition to the other treatment group needed previous approval by the study management committee.
‡ A total of 157 patients who received imatinib and 178 patients who received interferon alfa plus cytarabine discontinued therapy.
RESULTS

PATIENTS

Five years after the last of 1106 patients had started treatment, and with a median of 60 months of follow-up, 382 of 553 patients (69%) in the imatinib group and 16 of 553 patients (3%) in the group given interferon alfa plus cytarabine continued with their initially assigned treatment (Table 1). Of the patients given interferon plus cytarabine, 359 (65%) had crossed over to imatinib, whereas 14 patients (3%) in the imatinib group had switched to the alternative treatment. The most common reason for crossover among patients given interferon plus cytarabine was intolerance (26%). Of these patients, 90 (16%) switched because they did not achieve a complete hematologic or major cytogenetic response by the designated target dates, as did 77 patients (14%) with disease progression. An additional 178 patients (32%) given interferon alfa plus cytarabine discontinued therapy. The reasons most commonly reported were withdrawal of consent (14%) and adverse events (6%). In the imatinib group, 23 patients (4%) discontinued therapy owing to an adverse event, and 25 patients (5%) withdrew consent (Table 1).

Since few patients were still receiving interferon alfa plus cytarabine at 60 months, the remainder of this report focuses on the long-term follow-up of patients who received imatinib as the initial therapy for CML. They had been treated with imatinib for a mean (±SD) of 50±19 months (median, 60 months). Among the 382 patients who continued receiving imatinib, the mean daily dose during this reporting period was 382±50 mg. In 82% of these patients, the last reported daily dose was 400 mg; 6% were receiving 600 mg, 4% were receiving 800 mg, and 8% were receiving less than 400 mg.
ADVERSE EVENTS

After a median follow-up of 60 months, the adverse events reported were similar to those reported previously. The most commonly reported adverse events were edema (including peripheral and periorbital edema) (60%), muscle cramps (49%), diarrhea (45%), nausea (50%), musculoskeletal pain (47%), rash and other skin problems (40%), abdominal pain (37%), fatigue (39%), joint pain (31%), and headache (37%). Grade 3 or 4 adverse events consisted of neutropenia (17%), thrombocytopenia (9%), anemia (4%), elevated liver enzymes (5%), and other drug-related adverse events (17%). Congestive heart failure was reported as being drug-related in one patient (<1%). Newly occurring or worsening grade 3 or 4 hematologic or biochemical adverse events were infrequent after both 2 and 4 years of therapy (Table 2).

EFFICACY

Figure 1 shows the estimated cumulative rates of complete hematologic remission: 96% at 12 months and 98% at 60 months. The best observed rate of complete hematologic response was 97%.

At 12 months, the estimated rate of major cytogenetic response was 85% and that of complete cytogenetic response was 69%. At 60 months, the estimated rates were 92% and 87%, respectively. With a median follow-up of 60 months, the best observed rate of major cytogenetic response was 89%, and the best rate of complete cytogenetic response was 82%. Of the 382 patients who still received imatinib at 60 months, 368 (96%) had a complete cytogenetic response.

There were significant differences in the rates of cytogenetic response, according to a scoring system devised by Sokal and colleagues, which divides patients with CML into low-risk, intermediate-risk, and high-risk groups. In patients who were deemed to be at low risk on the Sokal scoring system, the rate of complete cytogenetic response was 89%; the rate among patients at intermediate risk was 82%; and for those at high risk, the rate was 69% (P<0.001).

Among 124 patients who had a complete cytogenetic response and whose blood samples taken at 1 and 4 years were available, BCR-ABL transcripts in the blood samples were measured. After 1 year, levels of BCR-ABL transcripts had fallen by at least 3 log in 66 of 124 patients (53%); after 4 years, levels had fallen in 99 of 124 patients (80%) (P<0.001). The proportion of patients with a reduction of at least 4 log in transcript levels increased from 22 to 41% between 1 and 4 years (P<0.001). The median log reduction of BCR-ABL transcripts was 3.08 at 1 year and 3.78 at 4 years (P<0.001).

LONG-TERM OUTCOMES

At 60 months, the estimated rate of event-free survival was 83% (95% confidence interval [CI], 79 to 87), and an estimated 93% of patients (95% CI, 90 to 96) had not progressed to the accelerated phase or blast crisis (Fig. 2). Of the 553 patients receiving imatinib, 35 (6%) progressed to the accelerated phase or blast crisis, 14 (3%) had a hematologic relapse, 28 (5%) had a loss of major cytogenetic response, and 9 (2%) died from a cause unrelated to CML. The estimated annual rate of treatment failure after the start of imatinib therapy was 3.3% in the first year, 7.5% in the second year, 4.8% in the third year, 1.5% in the fourth year, and 0.9% in the fifth year. The corresponding annual rates of progression to the accelerated phase or blast crisis were 1.5%, 2.8%, 1.6%, 0.9%, and 0.6%, respectively. In the 454 patients who had
a complete cytogenetic response, the annual rates of treatment failure were 5.5% in the first year, 2.3% in the second year, 1.1% in the third year, and 0.4% in the fourth year after a response was achieved. The corresponding annual rates of progression to the accelerated phase or blast crisis were 2.1%, 0.8%, 0.3%, and 0%, respectively, in these patients.

**EFFECT OF RESPONSE ON OUTCOME**

Cytogenetic and molecular responses had significant associations with event-free survival and deterrence against progression to the accelerated phase or blast crisis (Fig. 3). A landmark analysis of the 350 patients who had had a complete cytogenetic response at 12 months after the initiation of imatinib treatment revealed that at 60 months, 97% of the patients (95% CI, 94 to 99) had not progressed to the accelerated phase or blast crisis. For the 86 patients with a partial cytogenetic response, the estimate was 93% (95% CI, 87 to 99); for the 73 patients who did not have a major cytogenetic response within 12 months, the estimate was 81% (95% CI, 70 to 92) (overall, P<0.001; P<0.001 for the comparison between patients with a complete response and those without a complete response, and P = 0.20 for the comparison between patients with a complete response and those with a partial response) (Fig. 3A).

At 60 months, the estimated risk of disease progression was significantly higher for the high-risk group of patients, according to the Sokal scoring system (P=0.002); the estimated rates for patients in the high-risk, intermediate-risk, and low-risk groups were 17%, 8%, and 3%, respectively. However, the Sokal score was not associated with disease progression in patients who had a complete cytogenetic response (95%, 95%, and 99% in the high-risk, intermediate-risk, and low-risk groups, respectively) (P=0.20 overall; P=0.92 for the comparison between the intermediate-risk group and the high-risk group, and P=0.16 for the comparison between the low-risk group and the high-risk group).

The molecular responses at 12 and 18 months were also associated with long-term outcomes. At 60 months, the patients who had a complete cytogenetic response and a reduction of at least 3 log in levels of BCR-ABL transcripts in bone marrow cells after 18 months of treatment had an estimated rate of survival without progression of CML of 100%. In the group with a reduction of less
than 3 log in levels of BCR-ABL transcripts, the estimated rate was 98% (P = 0.11). However, in the absence of a complete cytogenetic response, the rate was 87% (P < 0.001) (Fig. 3B). No patient who had a complete cytogenetic response and reduction of at least 3 log in levels of BCR-ABL transcripts at 12 months had progressed to the accelerated phase or blast crisis at 60 months.

**OVERALL SURVIVAL**

By the cutoff date for this analysis, 57 patients (10%) who received imatinib had died; 5 of these patients had switched to interferon alfa plus cytarabine. The estimated overall survival rate at 60 months was 89% (95% CI, 86 to 92) (Fig. 4). Allogeneic hematopoietic stem-cell transplantation was carried out in 44 patients who discontinued imatinib: 11 had progressed to the accelerated phase or blast crisis, 15 had had a hematologic or cytogenetic relapse, and 18 had stopped therapy for other reasons (including safety and withdrawal of consent). Of the 44 patients who underwent transplantation, 14 (32%) died. At 60 months, with data censored at the time of transplantation, the estimated overall survival rate was 92% (95% CI, 89 to 95). After data were censored for patients who had died from causes unrelated to CML or transplantation, the overall estimated survival rate was 95% (95% CI, 93 to 98) at 60 months (Fig. 4).

**DISCUSSION**

The initial analysis of this study, performed at a median follow-up of 19 months, showed a high rate of response and an acceptable rate of side effects of imatinib as initial therapy for newly diagnosed chronic-phase CML. The present analysis, with a median follow-up of 60 months, showed an estimated relapse rate of 17% at 60 months, and an estimated 7% of all patients progressed to the accelerated phase or blast crisis. The 5-year estimated overall survival rate for patients who received imatinib as initial therapy (89%) is higher than that reported in any previously published prospective study of the treatment of CML. This trial allowed patients to cross over to the alternate treatment, and most patients in the interferon group either switched to imatinib or discontinued interferon. On the basis of an intention-to-treat analysis, there was no significant difference in overall survival between the group of patients who began their treatment with interferon and those who began their treatment with imatinib (data not shown). Previous randomized studies of interferon alfa plus cytarabine, performed before the availability of imatinib, showed a 5-year overall survival of 68 to 70%. With the use of historical comparisons, a survival advantage for initial therapy with imatinib over interferon alfa can be demonstrated.

In a landmark analysis, 97% of patients with a complete cytogenetic response within 12 months after starting imatinib did not progress to the accelerated phase or blast crisis by 60 months. Notably, patients who were deemed to be at high risk on the basis of Sokal scores had a lower rate of complete cytogenetic response (69%) than did patients who were at low risk or intermediate risk (89% and 82%, respectively). However, the risk of relapse in patients who had a cytogenetic response was not associated with the Sokal score. With interferon treatment, by contrast, the Sokal score was important even among patients with a complete cytogenetic response.

Remarkably, no patient who had a complete cytogenetic response and a reduction in levels of BCR-ABL transcripts of at least 3 log at 12 or 18 months after starting imatinib had progression.
of CML by 60 months. Only 2% of patients who had a complete cytogenetic response and a reduction in levels of BCR-ABL transcripts of less than 3 log at 18 months had progressed to the accelerated phase or blast crisis at 60 months.

It is currently recommended that imatinib therapy be continued indefinitely. Anecdotal reports suggest that the discontinuation of imatinib, even in patients with undetectable levels of BCR-ABL transcripts, results in relapse. Although it is not known why imatinib is not able to eradicate the malignant clone, potential mechanisms include drug efflux and amplification or mutation of the BCR-ABL gene. It is also possible that imatinib cannot completely inhibit BCR-ABL kinase activity; low levels of activity would allow cells to survive but not proliferate. As an alternative, the malignant clone could persist through mechanisms that are independent of the BCR-ABL kinase.

Initial studies of two new inhibitors of the BCR-ABL kinase that are more potent than imatinib — dasatinib and nilotinib — showed high response rates in patients who had had a relapse during imatinib therapy. Despite their potency, these inhibitors cannot eradicate all CML cells in vitro. As was the case in patients in our study, it is assumed that in patients receiving these drugs a durable response can be achieved even without disease eradication if there is a reduction in levels of BCR-ABL transcripts of at least 3 log.

Notably, the rate of disease progression in patients in our study is apparently trending downward, although the trend has not reached statistical significance. If it persists, such a trend would be consistent with the findings that mutations in the BCR-ABL gene are the major cause of relapse in patients treated with imatinib. If we presume that mutations precede imatinib therapy (as the data suggest), the emergence of resistance to the drug would depend on the size of the mutant clone at the start of therapy and its doubling time. Since most mutated and unmethylated BCR-ABL clones have similar doubling times, a patient with a mutant clone should be at highest risk for relapse during the first several years of therapy. This prediction is in line with the apparent downward trend in the risk of disease progression observed in our study.

Dr. Druker’s institution is the site of clinical trials sponsored by Novartis, but neither he nor his laboratory reports receiving funds from Novartis. Dr. Guilhot reports receiving consulting and lecture fees from Novartis; Dr. O’Brien, consulting fees from Novartis and Bristol-Myers Squibb and lecture fees from Novartis; Ms. Gathmann, being an employee of and having equity ownership in Novartis; Dr. Kantarjian, consulting fees from Novartis, Bristol-Myers Squibb, and MGI Pharma; Dr. Gattermann, consulting and lecture fees from Novartis and Pharmion; Dr. Deininger, consulting and lecture fees from Novartis and Bristol-Myers Squibb; Dr. Silver, consulting fees from Novartis; Dr. Goldman, lecture fees from Novartis; Dr. Stone, consulting and lecture fees and grant support from Novartis and Bristol-Myers Squibb; Dr. Cervantes, consulting fees from Novartis and lecture fees from Novartis and Bristol-Myers Squibb; Dr. Hochhaus, consulting and lecture fees from Novartis and Bristol-Myers Squibb; Dr. Powell, lecture fees from Pharmion; Dr. Gabrilove, consulting fees from Novartis; Dr. Rousselot, lecture fees from Novartis Oncology; Dr. Cornelissen, consulting fees from Novartis Oncology; Dr. Hughes, consulting and lecture fees from Novartis; Dr. Fischer, consulting fees from LymphoSign and Novartis and lecture fees from Novartis; Dr. Saglio, consulting and lecture fees from Novartis; Dr. Gratwohl, consulting fees from Novartis, Pfizer, and Amgen and lecture fees from Novartis; Dr. Radich, consulting fees from Novartis and Bristol-Myers Squibb and lecture fees from Novartis; Dr. Simonsson, consulting fees from Novartis and Bristol-Myers Squibb; Dr. Taylor, consulting fees from Amgen, Novartis, Bristol-Myers Squibb, and Celgene and lecture fees from Novartis; Dr. Baccarani, consulting fees from Novartis, Bristol-Myers Squibb, Merck, and Pfizer and lecture fees from Novartis, Bristol-Myers Squibb, Schering, and Pfizer; Dr. So, being an employee of Novartis and having equity ownership in Novartis and Pfizer; Dr. Letvak, being an employee of and having equity ownership in Novartis; and Dr. Larson, consulting and lecture fees from Novartis. No other potential conflicts of interest relevant to this article were reported.

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APPENDIX

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Imatinib as Primary Therapy for Chronic Myeloid Leukemia

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